

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark up with any corrections or use Block 1)
 60140 7590 08/26/2003

LADAS & PARRY
26 WEST 61ST STREET
NEW YORK, NY 10023

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO, on the date indicated below.

JULIAN H. COHEN (Depositor's name)
 (Signature)
NOVEMBER 26, 2003 (Date)



APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/928,383	08/13/2001	Masaki Yasukawa	U 013597-8	6918

TITLE OF INVENTION: HEAD-MOUNTED IMAGE DISPLAY DEVICE AND DATA PROCESSING APPARATUS INCLUDING THE SAME

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$300	\$1600	11/26/2003

EXAMINER	ART UNIT	CLASS-SUBCLASS
CHOW, DOON Y	2675	345-007000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47, Rev 05-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. **LADAS & PARRY**

2.

3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE: **IKO EPSON CORPORATION** (B) RESIDENCE (CITY AND STATE OR COUNTRY): **TOKYO, JAPAN**

cordation Date: **February 12, 2001** Reel/Frame **7893/0495**

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

4a. The following fee(s) are enclosed:

☒ Issue Fee

☒ Publication Fee

☐ Advance Order - # of Copies

4b. Payment of Fee(s):

☒ check in the amount of the fee(s) is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form).

Director for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

Authorized Signature

(Date)

Nov. 26, 2003

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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JULIAN H. COHEN
c/o LADAS & PARRY
26 WEST 61ST STREET
NEW YORK, NEW YORK 10023
REG. NO.: 20302 (212) 708-1887

00000006 12
 1400.00 DA
 300.00 DA
 02/21/2003 BIRBY
 01 FC:1251
 02 FC:1450

TRANSMIT THIS FORM WITH FEE(S)



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tadashi KINEBUCHI
Serial No.: 09/928,383 Group No.: 2675
Filed: August 13, 2001 Examiner: D. Chow
For: HEAD-MOUNTED IMAGE DISPLAY DEVICE AND DATA PROCESSING
APPARATUS INCLUDING THE SAME
Attorney Docket No.: U 013597-8
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

NOTICE OF ERRORS IN NOTICE OF ALLOWANCE

The Notice of Allowance of February 9, 2005, indicates an Issue Fee of \$1400.00. However, an Issue Fee of \$1,330.00 was paid November 26 (28), 2003. Copies of the Transmittal of Payment of Issue Fee, PTOL 85B, and their postcard receipt are attached. Therefore, the Issue Fee now due should be \$70.00.

CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a)

I hereby certify that this correspondence is, on the date shown below, being:

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FACSIMILE


- ☐ transmitted by facsimile to the Patent and Trademark Office to (703) 872-9306


Signature

Date: March 7, 2005

William R. Evans
(type or print name of person certifying)

The Notice of Allowance of February 9, 2005, indicates as first named inventor Masaaki Yasukawa. However, copies of an Amendment, Request and Fee Deleting Correctly Named Persons Who Are Not Inventors of Invention Now Being Claimed of November 21 (24), 2003 and its postcard receipt are attached. The first named inventor is, therefore, Tadashi Kinebuchi.

 By Do 2588 for JHC

Respectfully submitted,

Julian H. Cohen
c/o Ladas & Parry LLP
26 West 61st Street
New York, New York 10023
Reg. No. 20302
Tel. No. (212) 708-1887



Practitioner's Docket No. U 013597-8

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: MASA AKI YASUKAWA, ET AL

Serial No.: 09/928,383

Group No.: 2675

Filed: AUGUST 13, 2001

Examiner: DOON Y. CHOW

Confirmation No. 6918

For: HEAD-MOUNTED IMAGE DISPLAY DEVICE AND DATA PROCESSING APPARATUS
INCLUDING THE SAME

Mail Stop Issue Fees

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. 1.311)

NOTE: Submission of a Transmittal of Payment of Issue Fee after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. § 1.704(c)(10). See Notice of May 29, 2001, 1247 OG 111-112, June 6, 2001.

1. Applicant hereby pays the issue fee for the attached Issue Fee Transmittal PTOL-85.

NOTE: 37 C.F.R. § 1.27(g): "(1) New determination of entitlement to small entity status is needed when issue and maintenance fees are due. Once status as a small entity has been established in an application or patent, fees as a small entity may thereafter be paid in that application or patent without regard to a change in status until the issue fee is due or any maintenance fee is due.

(2) Notification of loss of entitlement to small entity status is required when issue and maintenance fees are due. Notification of a loss of entitlement to small entity status must be filed in the application or patent prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity as defined in paragraph (a) of this section is no longer appropriate. The notification that small entity status is no longer appropriate must be signed by a party identified in § 1.33(b). Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate."

CERTIFICATION UNDER 37 C.F.R. 1.8(a) and 1.10*

(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification is optional.)

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37 C.F.R. 1.8(a)

☒ with sufficient postage as first class mail.

37 C.F.R. 1.10*

☐ as "Express Mail Post Office to Addressee"
Mailing Label No. _____ (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: November 26, 2003

Signature

JULIAN H. COHEN

(type or print name of person certifying)

* Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

2. Applicant

- A. Asserted small entity status in this application by
- ☐ payment of the basic filing or national fee as a small entity (37 C.F.R. § 1.27(c)(3)) or
 - ☐ submission of a Written Assertion or Statement of Small Entity Status (37 C.F.R. § 1.27(c)(1))

It is confirmed that small entity status for this application has been checked, is still in effect and is being asserted.

- ☐ A WRITTEN ASSERTION OR STATEMENT OF SMALL ENTITY STATUS signed by an appropriate party as required by 37 C.F.R. § 1.27 is attached.

WARNING: "Payment of a fee in other than the small entity amount is not sufficient notification that small entity status is no longer appropriate." 37 C.F.R. § 1.27(g)(2).

(complete the following, as applicable)

- B. ☐ Applicant hereby notifies the Office, in accordance with the requirements of 37 C.F.R. § 1.27(g)(2), that it no longer has status as a small entity.
- ☐ A "NOTIFICATION OF LOSS OF STATUS AS SMALL ENTITY" signed by an appropriate party is attached.
- ☐ Applicant has not asserted small entity status.

3. Fee (37 C.F.R. 1.18(a) and (b)):

Application status is:	<u>Regular</u>	<u>Design</u>
small business entity—fee	<input type="checkbox"/> \$ 665.00	<input type="checkbox"/> \$240.00
other than a small entity—fee	<input checked="" type="checkbox"/> \$1,330.00	<input type="checkbox"/> \$480.00
Publication Fee	<input checked="" type="checkbox"/> \$ 300.00	

4. Payment of fee:

- ☒ Enclosed please find check for \$ 1,630.00.
- ☒ Charge Account 12-0425 for any fee deficiency.
- ☐ Charge Account _____ the sum of \$ _____.
- A duplicate of this request is attached.

Reg. No. 20,302

Tel. No.: (212)708-1887

Customer No.: 00140

SIGNATURE OF PRACTITIONER

JULIAN H. COHEN
(type or print name of practitioner)

LADAS & PARRY
P.O. Address

26 WEST 61ST STREET
NEW YORK, NEW YORK 10023



U 013597-8

November 26, 2003

IN RE: MASAOKI YASUKAWA

GROUP NO.: 2675

SERIAL NO.: 09/928,383

EXAMINER: D. Y. CHOW

FILED: AUGUST 13, 2001

CONFIRMATION NO. 6918

FOR: HEAD-MOUNTED IMAGE DISPLAY DEVICE AND DATA PROCESSING
APPARATUS INCLUDING THE SAME



TRANSMITTAL OF PAYMENT OF ISSUE FEE (37 C.F.R. 1.311): PART B - FEE \$)
TRANSMITTAL: \$1,630.00 (ISSUE FEE & PUBLICATION FEE) (CHECK
ENCLOSED)

FILED _____

JHC/gm



Practitioner's Docket No. U 013597-8

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: TADASHI KINEBUCHI

Serial No.: 09/928,383

Group No.: 2675

Filed: August 13, 2001

Examiner: --

For: Head-Mounted Image Display Device and Data Processing Apparatus Including the Same

Commissioner for Patents

P. O. Box 1450

Alexandria, VA 22313-1450

**AMENDMENT, REQUEST AND FEE DELETING CORRECTLY NAMED
PERSON(S) WHO ARE NOT INVENTOR(S) OF INVENTION NOW
BEING CLAIMED (37 C.F.R. 1.48(b)) - NON-PROVISIONAL APPLICATION**

NOTE: The correction of the inventorship can be made with respect to the inventors originally named or where the inventorship was corrected after filing under § 1.48(a) and, subsequent to that correction, prosecution of the application required additional correction under § 1.48(b). 62Fed. Reg. 53, 131 at 53,139. (Oct. 10, 1997).

NOTE: "If the correct inventors are named in a nonprovisional application and the prosecution of the application results in the amendment or cancellation of claims so that fewer than all of the currently named inventors are the actual inventors of the invention being claimed in the application, an amendment must be filed requesting the deletion of the name or names of the person or persons who are not inventors of the application being claimed." 37 C.F.R. § 1.48(b).

1. This amendment and request under 37 C.F.R. 1.48(b) is to delete the name(s) of the following person(s) named as inventor(s) and who is (are) not the inventor(s) of the invention now being claimed:

Masaaki Yasukawa; Noriko Watanabe; Kunio Yoneno; Syoichi Uchivama; Hiroshi Kamakura and

Joji Karasawa

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

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FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Signature

Date: November 21, 2003

JULIAN H. COHEN

(type or print name of person certifying)

2. Claim(s) Now on File

The claim(s) in this application are as follows:

(check and complete all applicable items)

- ☐ originally filed claim(s) _____
☐ originally filed claim(s) _____
as amended on _____
☐ claim(s) _____ filed on _____
☒ claim(s) 23 and 24 filed on August 20, 2003
as amended on _____

3. Status of Inventorship After Amendment

(check the following item if all the inventor(s) remaining after this petition and amendment is accepted are not the inventor(s) of the subject matter of all the claim(s) now being claimed)

- ☐ Attached is an explanation of the facts, including the ownership of all the claim(s) at the time the last claimed invention was made (Declaration of Inventorship and Common Ownership of Claims in Application).

4. Fee (37 C.F.R. 1.17(i))

The fee required by is paid as follows:

- ☒ Enclosed is a check for \$130.00.
☐ Charge Account 12-0425 for any fee deficiency.
☐ Charge Account _____ the sum of \$130.00. a duplicate is attached.

Reg. No. 20302

Tel. No.: (212) 708-1887

Customer No.: 00140

SIGNATURE OF PRACTITIONER

JULIAN H. COHEN

(type or print name of practitioner)

P.O. Address

c/o Ladas & Parry
26 West 61st Street
New York, N. Y. 10023



U 013597-8

November 21, 2003

In re application of: TADASHI KINEBUCHI

Serial No.: 09/928,383

Group No.: 2675

Filed: August 13, 2001

Examiner: --

For: Head-Mounted Image Display Device and Data Processing
Apparatus Including the Same

AMENDMENT, REQUEST AND FEE DELETING CORRECTLY NAMED
PERSON(S) WHO ARE NOT INVENTOR(S) OF INVENTION NOW
BEING CLAIMED (37 C.F.R. 1.48(b)) - NON-PROVISIONAL APPLICATION
CHECK ENCLOSED (\$130.00 AMENDMENT AND REQUEST FEE)

FILED

U.S. Postal Service

Happy Holidays

JHC

